SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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	s of Reporting Perso	n*	2. Issuer Name and Ticker or Trading Symbol NASDAQ_OMX GROUP, INC. [NDAQ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Ewing Anna M</u>					Director	10% Owner			
				- x	Officer (give title	Other (specify			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
		(/	07/03/2014		Executive Vice	President			
ONE LIBERTY PLAZA									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)				Line)					
NEW YORK	NY	10006		X	Form filed by One Rep	oorting Person			
,					Form filed by More that	n One Reporting			
(City)	(State)	(Zip)			Person				
	(0.0.0)	(

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)			
07/03/2014		M ⁽¹⁾		34,664	A	\$19.75	145,238	D				
07/03/2014		S		34,664	D	\$39.75	110,574	D				
07/03/2014		S		100	D	\$40	110,474 ⁽²⁾	D				
	Date (Month/Day/Year) 07/03/2014 07/03/2014	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) 07/03/2014 07/03/2014	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transa Code (8) 07/03/2014 M(1) 07/03/2014 S	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) 07/03/2014 M(1) 07/03/2014 S	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Disposed Of 5) 07/03/2014 Code V Amount 07/03/2014 M ⁽¹⁾ 34,664 07/03/2014 S 34,664	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Disposed Of (D) (Instr. 5) Code V Amount (A) or (D) 07/03/2014 M ⁽¹⁾ 34,664 A 07/03/2014 S 34,664 D	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Disposed Of (D) (Instr. 3, 4 and 5) Code V Amount (A) or (D) Price 07/03/2014 M ⁽¹⁾ 34,664 A \$19.75 07/03/2014 S 34,664 D \$39.75	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially Owned Following (Norr 0) 07/03/2014 M ⁽¹⁾ 4 mount (A) or (D) Price Price (Instr. 3 and 4) 07/03/2014 M ⁽¹⁾ 34,664 A \$19.75 145,238 07/03/2014 S 34,664 D \$39.75 110,574	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction (Sode (Instr. a) Disposed Of (D) (Instr. 3, 4 and 5) Securities Securities (Normal Following Reported Transaction(S) (Instr. a) Form: Direct (D) or Indirect (Normal Following Reported Transaction(S) (Instr. 4) 07/03/2014 M ⁽¹⁾ 34,664 A \$19.75 145,238 D 07/03/2014 S S 34,664 D \$39.75 110,574 D			

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	umber vative urities uired or oosed D) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Buy)	\$19.75	07/03/2014		М			34,664	(3)	03/04/2020	Common Stock	34,664	\$ 0	0	D	
Employee Stock Option (Right to Buy)	\$25.28							(3)	03/28/2021	Common Stock	39,661		39,661	D	
Employee Stock Option (Right to Buy)	\$ 45.38							(3)	12/12/2017	Common Stock	22,564		22,564	D	

Explanation of Responses:

1. The exercise and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 27, 2014.

2. Represents (i) 42,283 vested shares of restricted stock, (ii) 50,440 shares underlying PSUs, of which 28,288 shares are vested and (iii) 17,751 shares purchased under the Employee Stock Purchase Plan. 3. Options exercisable.

/s/ Edward S. Knight, by power 07/08/2014

of attorney 0

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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