FORM 4

obligations may continue. See Instruction 1(b).

Common Stock, par value \$0.01 per share

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

\$30.39(2)

D

246,771(3)

OMB Number: Estimated average burden hours per response: 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5

05/13/2013

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Ewing Anna M</u>				2. Issuer Name <b>and</b> Ticker or Trading Symbol NASDAQ OMX GROUP, INC. [ NDAQ ]							tionship of Reportin all applicable) Director	• • • • • • • • • • • • • • • • • • • •	
(Last) ONE LIBERTY	(First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 05/13/2013							Officer (give title below)  Executive V	Other (specify below)  Vice President	
(Street) NEW YORK (City)	NY (State)	10006 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indiv Line) X	vidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person			
		Table I - No	n-Derivati	ive S	Securities Acc	uired	l, Dis	posed of,	or Be	neficially	Owned		
i had or coounty (moure)			2. Transactio Date (Month/Day/Y	Execution [		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4  Amount (A) or (D) Prior			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 $S^{(1)}$ 

20,000

Derivative Conversion Da		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Buy)	\$19.75							(4)	03/04/2020	Common Stock	34,664		34,664	D	
Employee Stock Option (Right to Buy)	\$25.07							(5)	12/17/2018	Common Stock	45,528		45,528	D	
Employee Stock Option (Right to Buy)	\$25.28							(6)	03/28/2021	Common Stock	39,661		39,661	D	
Employee Stock Option (Right to Buy)	\$35.92							(7)	12/13/2016	Common Stock	32,558		32,558	D	
Employee Stock Option (Right to Buy)	\$45.38							(8)	12/12/2017	Common Stock	22,564		22,564	D	

## **Explanation of Responses:**

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 8, 2013.
- 2. The price reported in this box is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.23 to \$30.49, inclusive. The reporting person undertakes to provide to The NASDAQ OMX Group, Inc., any security holder of The NASDAQ OMX Group, Inc. or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.
- 3. Represents (i) 122,403 vested shares of restricted stock, (ii) 107,414 shares underlying PSUs, of which 48,257 shares are vested and (iii) 16,954 shares purchased under the Employee Stock Purchase Plan.
- 4. Options exercisable on March 4, 2014.
- 5. Options exercisable.
- 6. Options exercisable on March 28, 2014.
- 7. Options exercisable.
- 8. Options exercisable.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.