

## Photo Release -- China's First Cross Border Exchange Traded Fund -- the Guotai NASDAQ-100 ETF -- Begins Trading on the Shanghai Stock Exchange

### New Listing Provides Chinese Investors Access to U.S. Securities for First Time

NEW YORK, May 15, 2013 (GLOBE NEWSWIRE) -- The NASDAQ OMX Group, Inc. (Nasdaq:NDAQ), today announced that China's first cross-border exchange-traded fund (ETF), based on the NASDAQ-100 Index<sup>®</sup>, has begun trading on the Shanghai Stock Exchange. The Guotai NASDAQ-100 Exchange-Traded Fund (Symbol: 513100), sponsored by Guotai Asset Management, was created so that investors in China could easily invest in the largest and most liquid companies traded on The NASDAQ Stock Market. The listing of this product marks the first exchange-traded fund in China to provide access to the U.S. market.

A photo accompanying this release is available at <http://www.globenewswire.com/newsroom/prs/?pkgid=18739>

Until now, investors in China have been able to access the U.S. market but only through ordinary Qualified Domestic Institutional Investor funds, most of which follow active strategies, are cumbersome to trade and involve a lengthy redemption process. The Guotai NASDAQ-100 Exchange-Traded Fund significantly enhances the efficiency of investment in U.S. securities for these investors. Additionally, because the product trades on the secondary market like any ETF, prices are transparent and transaction costs are low, making the product appealing to institutional and individual investors alike.

The launch of the Guotai NASDAQ-100 ETF is a significant development for the burgeoning exchange-traded product market in China. According to BlackRock, Inc., an independent, global investment manager, year-to-date inflows into Chinese equity ETPs increased 23.4 percent to \$937.4 billion.

"We applaud Guotai Asset Management for responding to increasing demand among all classes of investors in China for a cross-border ETF," said NASDAQ OMX Vice President Robert Hughes. "The Guotai NASDAQ-100 ETF enables individual and institutional investors in China to access 100 of the world's largest and fastest growing companies — including Baidu, Microsoft, Apple and Starbucks."

The NASDAQ-100 Index, which includes 100 of the largest international and domestic non-financial securities listed on NASDAQ, had a cumulative price return of 170.32% during the 10 years ended December 31, 2012.

### About NASDAQ OMX Global Indexes

NASDAQ OMX Global Indexes has been creating innovative, market-leading, transparent indexes since 1971. Today, our index offering spans geographies and asset classes and includes diverse families such as the Dividend Achievers, Global, Nordic, Green Economy, Sharia and Commodity Indexes. We continuously offer new opportunities for financial product sponsors across a wide-spectrum of investable products and for asset managers to measure risk and performance. NASDAQ OMX Global Indexes also provides custom index services and design solutions to selected financial organizations. For more information about NASDAQ OMX Global Indexes, visit [indexes.nasdaqomx.com](http://indexes.nasdaqomx.com).

Daily index values, weightings and historical data for NASDAQ OMX indexes are available via [NASDAQ OMX Global Index Watch](#) and the [NASDAQ OMX Global Index Data Service](#).

### About NASDAQ OMX Group

The inventor of the electronic exchange, The NASDAQ OMX Group, Inc., fuels economies and provides transformative technologies for the entire lifecycle of a trade - from risk management to trade to surveillance to clearing. In the U.S. and Europe, we own and operate 23 markets, 3 clearinghouses and 5 central securities depositories supporting equities, options, fixed income, derivatives, commodities, futures and structured products. Able to process more than 1 million messages per second at sub-40 microsecond speeds with 99.99+% uptime, our technology drives more than 70 marketplaces in 50 developed and emerging countries into the future, powering 1 in 10 of the world's securities transactions. Our award-winning data products and worldwide indexes are the benchmarks in the financial industry. Home to over 3,300 listed companies worth more than \$6 trillion in market cap whose innovations shape our world, we give the ideas of tomorrow access to capital today.



Guotai NASDAQ-100 Exchange-Traded Fund is the first ETF in China to provide access to the U.S. market

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### Cautionary Note Regarding Forward-Looking Statements

The matters described herein contain forward-looking statements that are made under the Safe Harbor provisions of the Private Securities Litigation Reform Act of 1995. These statements include, but are not limited to, statements about the Guotai NASDAQ-100 ETF, as well as NASDAQ OMX's other products and offerings. We caution that these statements are not guarantees of future performance. Actual results may differ materially from those expressed or implied in the forward-looking statements. Forward-looking statements involve a number of risks, uncertainties or other factors beyond NASDAQ OMX's control. These factors include, but are not limited to factors detailed in NASDAQ OMX's annual report on Form 10-K, and periodic reports filed with the U.S. Securities and Exchange Commission. We undertake no obligation to release any revisions to any forward-looking statements.

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### NDAQG

The photo is also available via AP PhotoExpress.

CONTACT: NASDAQ OMX Media Contact:

Wayne Lee

+1.301.978.4875

[Wayne.D.Lee@NASDAQOMX.Com](mailto:Wayne.D.Lee@NASDAQOMX.Com)

Intermarket Communications Media Contact:

Michael Gelormino

+1.212.909.4780

[mgelormino@intermarket.com](mailto:mgelormino@intermarket.com)



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